

D.O.T ● ● ●
PROFESSIONAL
TRAINING

**MIND
THE
GAP**
Business made ideal.

Seminar Details

Dates and Time:

05/03/22 (9.00 – 15.30)
12/03/22 (9.00 – 15.30)
19/03/22 (9.00 – 15.30)
26/03/22 (9.00 – 15.30)
02/04/22 (9.00 – 15.30)

Duration:

30 hours

Location:

Webinar – More details will be announced to each candidate.

Cost Breakdown

Initial cost:

€ 810 + [VAT (153.90 €)]

Cost with subsidy:

€ 300 + [VAT (153.90 €)]

Cost without subsidy: € 500

[including VAT]

MULTI-COMPANY TRAINING PROGRAMS

Financial Services Regulatory Framework: CySEC Advanced Level CySEC Exam Preparation Program



Seminars Scope

This seminar aims to prepare each candidate for the Basic and Advanced Level Exam of Cyprus Securities and Exchange Commission (CySEC). By attending this seminar you can either decide to step into the Advanced level Exam or applying to the Basic Level first, since there is no requirement to pass the Basic Exam before passing the Advanced Exam.

This course involves a series of lectures together with training tests and revision activities.

Who is this course for?

- Those who wants to hold positions in all investment services functions in Cypriot Investment Firms
- Those who want to perform the investment services of reception and transmission of orders and execution of orders within a Cypriot Investment Firm.
- people who work or planned to work in a UCITS Management Company or a VCIC that manages UCITS
- people who work or planned to work in banks and Cypriot Investment Firms (CIFs)
- Financial and Professional Services Consultants
- Professionals joining the finance and professional services sector



THE SEMINAR HAS BEEN APPROVED BY HRDA. BUSINESS ENTITIES THAT ARE REGISTERED IN CYPRUS AND MEET THE HRDA CRITERIA, ARE ELIGIBLE TO ATTEND HRDA SUBSIDISED TRAINING PROGRAMMES AND PARTICIPATE WITH A REDUCED FEE.

Certificate from:



Contact Us

Please complete the relevant form in our website or contact us directly!!

DOT Professional Training

Mind The Gap Ltd

Tel: 25251435

Fax: 25251436

info@dotprotraining.com

www.mindthegap.com.cy

Aim of seminar

As per EU Directives, professionals wishing to provide Investment Services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies, and Investment Companies of Variable Capital, is compulsory to acquire a Professional Competence Certificate that reflects the ability to carry out such activities. These certifications are offered by the Cyprus Securities and Exchange Commission (CySEC) and can be obtained through the exams: a) Basic Financial Services Legal Framework Examination and b) Advanced Financial Services Legal Framework Examination. This course is preparing participants to attend the Advanced Level CySEC exam. Aim of the exam is to ensure that candidates can apply an appropriate level of knowledge and understanding of the financial services regulatory framework that will assist them in the performance of their duties. Successful candidates will be certified and eligible to perform all the investment services/activities of paragraph 4 of the Directive. The CySEC Advanced Examination is addressed to individuals who wish to be certified for all the investment services/activities of paragraph 4 of the Directive.

CySEC Certified


During the course, candidates will be provided with insight in regards to the CySEC Advanced Certification and practical real life examples. Following the completion of the seminar, candidates will be able to attend CySEC Advanced exams.

Lecturer's Profile

The lecturer holds a bachelor and a master's degree in economics and has many years of experience in the field of seminars in the preparation of candidates for the CySEC examinations.

Subjects:

- INTRODUCTION
- CHAPTER 1 INVESTMENT SERVICES LAW 2017: SCOPE/POWERS/OFFENCES
- CHAPTER 2 CYPRIOT INVESTMENT FIRMS (CIFS)
- CHAPTER 3 CYPRIOT INVESTMENT FIRMS (CIFS) AND BANKS
- CHAPTER 4 OPEN-ENDED UNDERTAKINGS FOR COLLECTIVE INVESTMENT (UCI) LAWS 2012–2019
- CHAPTER 5 ALTERNATIVE INVESTMENTS
- CHAPTER 6 THE BUSINESS OF CREDIT INSTITUTIONS LAWS OF 1997–2016
- CHAPTER 7 THE PREVENTION AND SUPPRESSION OF MONEY LAUNDERING AND TERRORIST FINANCING LAWS
- CHAPTER 8 MARKETS IN FINANCIAL INSTRUMENTS REGULATION (MIFIR)
- CHAPTER 9 CAPITAL ADEQUACY REQUIREMENTS
- CHAPTER 10 EUROPEAN MARKET INFRASTRUCTURE REGULATION (EMIR)

- 
- CHAPTER 11 RECOVERY AND RESOLUTION LAWS
 - CHAPTER 12 PROSPECTUS REGULATION
 - CHAPTER 13 TRANSPARENCY LAWS
 - CHAPTER 14 INSIDER DEALING AND MARKET MANIPULATION REGULATION (2016)
 - REVISION